

**FIRST ON-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

HOLMES CORRECTIONAL INSTITUTION

for the

Physical and Mental Health Survey
Conducted December 11-13, 2018

CMA STAFF

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I. Overview

On December 11-13, 2018, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Holmes Correctional Institution (HOLCI). The survey report was distributed on January 7, 2019. In February 2019, HOLCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the HOLCI survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

Cap #	Request Date for Monitoring Documents	Cap Assessment Date	On-site or Off-site	Total # of Survey Findings	Total # of Open Findings	Total # of Closed Findings
1	7/10/19	8/2/19	On-site	4	1	3

Summary of CAP Assessments for Holmes Correctional Institution

II. Physical Health Assessment Summary

A. Main Unit

The CAP closure files revealed sufficient evidence to determine that 2 of the 2 physical health findings were corrected. All physical health findings are closed.

Finding	Closed	Open: Adequate evidence of in-service training was provided, however a review of randomly selected records indicated an acceptable level of compliance had not been met.	Open: Adequate evidence of in-service training was provided, however there were no applicable episodes available for review.	Open: Adequate evidence of in-service training was provided, however institutional monitoring was inadequate and a level of compliance could not be determined.	Open: Adequate evidence of in-service training was provided, however institutional monitoring indicated an acceptable level of compliance had not been met.	Other
<u>Gastrointestinal Clinic</u> PH-1: In 8 of 13 applicable records (17 reviewed), there was no evidence of hepatitis A and/or B vaccination or refusal.	x					

Finding	Closed	Open: Adequate evidence of in-service training was provided, however a review of randomly selected records indicated an acceptable level of compliance had not been met.	Open: Adequate evidence of in-service training was provided, however there were no applicable episodes available for review.	Open: Adequate evidence of in-service training was provided, however institutional monitoring was inadequate and a level of compliance could not be determined.	Open: Adequate evidence of in-service training was provided, however institutional monitoring indicated an acceptable level of compliance had not been met.	Other
<p><u>Institutional Tour</u> PH-2: Over-the-counter medications were not accounted for properly. The logs were not completed daily, therefore the counts and logs did not match.</p>	x					

III. Mental Health Assessment Summary

A. Main Unit

The CAP closure files revealed sufficient evidence to determine that 1 of the 2 mental health findings were corrected. One mental health finding will remain open.

Finding	Closed	Open: Adequate evidence of in-service training was provided, however a review of randomly selected records indicated an acceptable level of compliance had not been met.	Open: Adequate evidence of in-service training was provided, however there were no applicable episodes available for review.	Open: Adequate evidence of in-service training was provided, however institutional monitoring was inadequate and a level of compliance could not be determined.	Open: Adequate evidence of in-service training was provided, however institutional monitoring indicated an acceptable level of compliance had not been met.	Other
<p><u>Self-Harm Observation Status</u> MH-1: In 2 of 2 records reviewed, the "Inpatient Mental Health Daily Nursing Evaluation" (DC4-673B) was not completed as required.</p>	x					
<p><u>Mental Health Systems</u> MH-2: The institution does not offer a variety of therapeutic groups to meet the needs of the population.</p>						x

IV. Conclusion

Physical Health

The following physical health findings will close: PH-1 and PH-2. All physical health findings are closed.

Mental Health

The following mental health finding will close: MH-1. All other mental health findings will remain open.

Until appropriate corrective actions are undertaken by HOLCI staff and the results of those corrections reviewed by the CMA, this CAP will remain open. As some of the necessary steps to correct findings require further institutional monitoring, closure may take as long as three months. Follow-up assessment by the CMA will most likely take place through an off-site evaluation.